Unc-51-like kinase 1/2-mediated endocytic processes regulate filopodia extension and branching of sensory axons

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The molecular mechanism and significance of endocytic processes involved in directional axon elongation are not well understood. The Unc-51 family of serine/threonine kinases was shown to be important for axon growth and was also linked to endocytosis, providing an entry point to study this problem. We found that mouse Unc-51-like kinase 1/2 (Ulk1/2) proteins are localized to vesicular structures in growth cones of mouse spinal sensory neurons. RNAi-mediated knockdown of Ulk1 and/or Ulk2 resulted in impaired endocytosis of nerve growth factor (NGF), excessive axon arborization, and severely stunted axon elongation. The evidence also indicates that Ulk1/2 mediates a non-clathrin-coated endocytosis in sensory growth cones. Interestingly, NGF can induce the interaction of Ulk1 with TrkA receptor complexes through promoting K63-polyubiquitination of Ulk1 and binding of Ulk1 to the scaffolding protein p62. These results and additional studies suggest that Ulk1/2 proteins regulate filopodia extension and neurite branching during sensory axon outgrowth, probably through regulating TrkA receptor trafficking and signaling.

In vivo hybridization experiments revealed that both mouse homologs of the Unc-51-like family gene, Ulk1 and Ulk2, are expressed in all dorsal root ganglion (DRG) neurons throughout development, and in a subset of neurons in adult DRG (Fig. 1A). Antibody staining on cultured embryonic DRG neurons showed that both Ulk1 and Ulk2 have punctuated, presumably vesicular distribution patterns along the axon shaft and within growth cones, especially in the center of growth cones and at branching points (Fig. 1A). In addition, both proteins are also present in filopodia with Ulk2 showing a more prominent signal. Ultracentrifugation fractionation experiments revealed that >50% of Ulk1 and Ulk2 proteins are associated with the membrane fraction (data not shown). These results suggest the possible involvement of Ulk1/2 in the growth and morphogenesis of sensory axons.

RNAi-Mediated Knockdown of Ulk1 and Ulk2 Reduces Axonal Length and Increases Axonal Branching in Embryonic Sensory Neurons. To gain insight into the physiological functions of the Ulk1/2 proteins, we performed RNAi analyses in sensory neurons. We generated constructs based on the pSUPER vector system (18) to express shRNA directed against Ulk1 or Ulk2. All constructs were first tested in COS cells for efficiency and specificity in knockdown of their target proteins (supporting information (SI) Fig. 6). This knockdown effect was then confirmed with antibody staining on RNAi-expressing DRG neurons (SI Fig. 7).

Embryonic DRG neurons were transfected with one of the following five plasmid combinations: (i) a plasmid expressing GFP (EGFP driven by the β-actin promoter), (ii) GFP plus a scrambled-RNAi plasmid, (iii) GFP plus an Ulk1-RNAi plasmid, (iv) GFP plus an Ulk2-RNAi plasmid, and (v) GFP plus both Ulk1-RNAi and Ulk2-RNAi plasmids. Representative images after 60 h in culture


The authors declare no conflict of interest.

Abbreviations: NGF, nerve growth factor; DRG, dorsal root ganglion; Ulk, Unc-51-like kinase; AFU, arbitrary fluorescence unit; CVV, clathrin-coated vesicle; En, embryonic day n.

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are shown in Fig. 2. Control neurons (GFP alone or GFP with scrambled-RNAi plasmid) mostly grew in a bipolar mode with two main axons that extend over a long distance. In contrast, reducing Ulk1 and/or Ulk2 in DRG neurons led to an increased number of axon branches and/or an exuberant number of long filopodia, and significantly shortened axon elongations (Fig. 2 and Table 1). Quantitative analyses of these phenotypes are described below and summarized in Table 1.

Axon Branch Numbers. Fibers longer than 20 μm were all counted as branches. Compared with control neurons, reducing either Ulk1 or Ulk2 nearly doubled (2×) the average branch number per neuron, whereas knockout of both proteins tripled (3×) the number of axon branches (Table 1). The histogram of the branch number distribution for each transfection category is shown in Fig. 2F. More than 90% of control neurons had <7 axon branches total. In comparison, all of the Ulk1/2 double RNAi-expressing neurons had 8 or more branches, and >60% had 13 or more branches. In the individual RNAi-expressing neurons, there was also an increase in the percentage of neurons with >8 axon branches.

Complexity of Branching Patterns. GFP or control-RNAi-transfected neurons had no quaternary arbors (the primary branches are defined as the axons coming directly from the cell body), whereas approximately one-third of Ulk1-RNAi or Ulk2-RNAi-expressing neurons, and almost all Ulk1/2 double-RNAi-expressing neurons possessed complex branching structures with quaternary or even higher order arbors (Fig. 2E and Table 1). This excessive arborization indicates that Ulk1 and Ulk2 play synergistic roles in preventing the formation and/or stabilization of higher order filopodia (Table 1).

Axon Length. We also measured the average length of the longest axon for each of the transfection categories. The longest axons in Ulk1/2-double-RNAi-expressing neurons were less than half the length of those of the controls (Table 1, third column). Taken together, our data suggest that loss of function of Ulk1/2 leads to shortened axonal elongation and increased branching in cultured sensory neurons.

The Effect of Reducing Ulk1/2 on NGF Internalization into the Growth Cones. We next explored the possible mechanisms underlying the axon morphology changes that resulted from suppressing Ulk1/2 activity. Based on previous findings demonstrating that Ulk1/2 affects endocytic processes (11, 13) and that NGF triggers the endocytosis of TrkA receptor (8), it is possible that Ulk1/2 may participate in TrkA receptor-mediated NGF endocytosis in sensory neurons. To test this possibility, we used a Cy3-conjugated NGF-based endocytosis assay as described by Tani et al. (19). Neurons transfected with GFP alone showed clear Cy3- fluorescent signals within their growth cones, indicating efficient internalization of NGF (Fig. 3B). However, neurons expressing Ulk1/2-RNAi constructs had a faint Cy3-NGF signal (Fig. 3C). Quantitative analyses revealed that the average Cy3-intensity in growth cones of GFP-transfected neurons was 10.9 ± 0.8 arbitrary fluorescence units (AFU; n = 11), whereas that for Ulk1/2-double-RNAi-transfected neurons was 3.5 ± 0.5 AFU (n = 11; Fig. 3D). Thus, reducing the expression of Ulk1/2 reduced the endocytosis of NGF (and by implication, TrkA) in sensory growth cones. This reduction is not due to the lack of cell surface expression of TrkA. Ulk1/2-RNAi-expressing neurons had similar levels of surface TrkA staining as controls, even on surfaces associated with highly branched termini (Fig. 3A and data not shown). Therefore, all neurons were equally capable of binding to NGF.

Ulk1/2 May Mediate a Non-Clathrin-Coated Endocytosis. To further characterize Ulk1/2-mediated endocytosis processes in sensory neurons, we performed two-color immunofluorescence staining of both Ulk1/2 and the clathrin heavy chain. Interestingly, the puncta of clathrin do not colocalize with the puncta of Ulk1 or Ulk2 proteins in the sensory growth cones (Fig. 3 E and F), although some colocalization is observed in filopodia. This result suggests that Ulk1/2 proteins mediate a non-clathrin-coated endocytic process in sensory neurons. To directly test the possibility that the clathrin-coated vesicle (CVV)-mediated endocytic process in Ulk1/2 knockdown neurons is not affected, we assayed the ability of neurons to take up fluorescent-labeled transferrin, which is known to enter the cell specifically through CVV. Internalization of transferrin can be clearly seen in both control and in Ulk1/2 knockdown neurons, and statistical analyses of the average fluorescence intensity revealed no significant differences (SI Fig. 8). These data support the idea that CVV endocytosis is largely intact in the absence of Ulk1/2 proteins, and is also consistent with our finding that total endocytosis of NGF was reduced but not abolished in Ulk1/2 knockdown neurons (Fig. 3D).

NGF Controls Polyubiquitination of Ulk1 and Interaction of Ulk1 with the NGF Signaling Complex. We next examined the possible biochemical basis of Ulk1/2 cross-talk with the NGF/TrkA signaling pathway. It is known that NGF recruits p62, which is required for the internalization of NGF/TrkA signaling complexes (15, 16). We previously demonstrated a possible interaction between the UBA domain (ubiquitin association domain) of p62 (p62-UBA) and polyubiquitinated-Ulk1 (20). Thus, p62 can potentially interact with Ulk1/2 to the TrkA complex. Using a GST pull-down assay, we confirmed that GST-p62UBA can directly pull down polyUb-Ulk1 (Fig. 4A). It is known that the UBA domain of p62 selectively interacts with K63-chains of polyubiquitin. Thus expressing the mutant ubiquitin, K63R-Ub, eliminated the ability of Ulk1 to interact with the p62-UBA domain (Fig. 4A). The identities of the endogenous E3 ligases for catalyzing the K63-polyubiquitination of Ulk1 are not completely known, although we found that TRAF6

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protein can catalyze this reaction \textit{in vitro} (SI Fig. 9). We also tested the interaction between K63-polyubiquitinated Ulk1 and p62-UBA in HEK cells by cotransferring Ulk1, TRAF6 (to increase ubiquitination), and various forms of p62, and performed coimmunoprecipitation experiments from the cell lysate. Ulk1 protein interacts with WT or N-terminal-deleted p62 (lanes 3 and 5 in Fig. 4B), but

Table 1. Quantitative analyses of axon outgrowth and branching phenotype

<table>
<thead>
<tr>
<th>Transfection</th>
<th>(N^*)</th>
<th>Average length of the longest axon, (\mu m)</th>
<th>Average no. of total axon branches per neuron(^1)</th>
<th>% neurons with quaternary arbors</th>
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<tr>
<td>GFP</td>
<td>25</td>
<td>1,513 ± 69</td>
<td>5.1 ± 0.3</td>
<td>0</td>
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<tr>
<td>Scrambled-RNAi</td>
<td>25</td>
<td>1,435 ± 81 ((P &gt; 0.27))</td>
<td>5.2 ± 0.4 ((P &gt; 0.93))</td>
<td>0</td>
</tr>
<tr>
<td>Ulk1-RNAi</td>
<td>29</td>
<td>726 ± 45 ((P &lt; 0.001))</td>
<td>10.9 ± 0.8 ((P &lt; 0.001))</td>
<td>34</td>
</tr>
<tr>
<td>Ulk2-RNAi</td>
<td>29</td>
<td>906 ± 41 ((P &lt; 0.001))</td>
<td>8.9 ± 0.8 ((P &lt; 0.001))</td>
<td>31</td>
</tr>
<tr>
<td>Ulk1/ULK2-RNAi</td>
<td>31</td>
<td>658 ± 35 ((P &lt; 0.001))</td>
<td>15.5 ± 0.9 ((P &lt; 0.001))</td>
<td>93</td>
</tr>
</tbody>
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\(^*\)\(N^*\), number of neurons analyzed.

\(^1\)Fibers longer than 20 \(\mu m\) are counted as axon branches. Primary axon arbor is defined as the main axon growing out from the cell body, secondary arbors are those sprouted from the primary axons, and so on. Thus, quaternary arbors are those that grew out from tertiary arbors.
not with p62 where the UBA-domain is deleted (lane 4 in Fig. 4B). Consistent with previous findings, TRAF6 itself interacts with p62 in a manner that is independent of the p62-UBA domain (21).

We next asked whether NGF treatment could induce ubiquitination of Ulk1 and recruitment of polyubiquitinated-Ulk1 to the TrkA receptor complex. We transfected HEK 293 cells with
FLAG-Ulk1, TrkA, p62 and HA-Ub. Interestingly, the presence of TrkA significantly reduced the basal level ubiquitination of FLAG-Ulk1. This allowed us to test the effect of adding NGF directly to the culture. The transfected cells were stimulated with NGF for various times. Ulk1 was maximally ubiquitinated within 15 min after addition of 50 ng/ml NGF and the ubiquitination disappeared after 30 min (Fig. 4C). As expected, overexpression of the K63R ubiquitin mutant blocked the polyubiquitination of Ulk1, whereas the K29R and K48R mutants did not (data not shown).

To examine whether and when the polyubiquitinated-Ulk1 (polyUb-Ulk1) was recruited to the TrkA receptor complex, HEK cells were cotransfected with HA-TrkA or FLAG-Ulk1, with or without myc-p62. Upon NGF stimulation for 15 min, and only in the presence of p62, Ulk1 was coimmunoprecipitated with TrkA (IP with anti-TrkA antibody) (Fig. 4D and E). These results demonstrate that NGF induced the transient formation of a large complex containing TrkA, p62, and poly-Ulk1.

To further substantiate these biochemical interactions in sensory neurons, we performed commünoprecipitation with anti-TrkA antibody from fresh isolated embryonic day (E) 12.5 DRG. Both Ulk1 and Ulk2 were coimmunoprecipitated with the TrkA receptor (Fig. 4F). Other proteins known to interact with TrkA were also present in the co-IP products, particularly, p62, p75 and TRAF6 (Fig. 4F). This experiment provided evidence for in vivo interaction of Ulk1/2 with the TrkA receptor complex.

Enhanced Phosphorylated-Akt Signal in Ulk1/2 Knockdown Neurons. We propose that the association of Ulk1/2 with TrkA receptor and the Ulk1/2-mediated non-CVV endocytosis attenuate/terminate TrkA signaling, and reducing Ulk1/2 results in elevated TrkA signaling. In sensory neurons, NGF/TrkA activates the PI3-kinase (PI3K) signaling pathway and Akt is a major downstream target of PI3K. Our observed phenotype in Ulk1/2 knockdown neurons resembled the phenotype induced by overexpressing an constitutively active form of Akt, which was also found to increase the extent of axon branching (22). We compared the level of phosphorylated-Akt (pAkt) in control and in Ulk1/2 knockdown neurons. A moderate pAkt signal is observed in GFP (or scrambled-RNAi-transfected) neurons (Fig. 5A1 and data not shown), whereas a much brighter pAkt signal is detected in Ulk1/2-RNAi-transfected neurons (Fig. 5A2 and A3). Quantification of the average fluorescence intensity (arbitrary unit, AFU) revealed approximately a 2-fold increase of the pAkt signal in Ulk1/2 knockdown neurons compared with that in controls (Fig. 5B): 211.6 ± 8.9 AFU in Ulk1/2-RNAi neurons (n = 20) versus 113.3 ± 8.8 AFU in GFP plasmid-transfected neurons (n = 22, P < 0.0001, Fig. 5B). The pAkt intensity in scrambled-RNAi-transfected neurons was 95.6 ± 6.4 AFU (n = 25). As an additional control for our parallel staining procedure, we quantified the weak pAkt staining in glial cells growing on the same cover slips as the transfected neurons and found no statistically significant differences between the Ulk1/2-RNAi-transfected cultures and the control cultures (Fig. 5B). These results were repeated in three independent sets of experiments. Thus we conclude that reducing the level of Ulk1/2 proteins resulted in enhanced activation of Akt in sensory neurons, probably because of prolonged TrkA signaling (see Discussion).

Discussion We demonstrated that Ulk1/2 proteins are required for efficient endocytosis of NGF and for suppressing excessive filopodia extension and axon branching in sensory neurons during NGF-induced axon outgrowth. How might Ulk1/2 exert their functions? In embryonic DRG neurons, it is known that NGF stimulates filopodia extension and the advancing growth cone by binding to TrkA receptors and activating various intracellular signaling pathways. In this study, we found that NGF also induces K63-polyubiquitination of Ulk1 (and perhaps Ulk2), where TRAF6 is a potential candidate for the E3 ligase. This K63-polyubiquitination allows the binding of Ulk1/2 to the p62 protein via its UBA-domain. The binding of p62 with activated TrkA receptors pulls Ulk1/2 to the active TrkA complex. Previous work demonstrated that Ulk1/2 interacts with syntenin and SynGAP, proteins that regulate endocytosis (13). We propose that these interactions allow Ulk1/2 to traffic the NGF-bound TrkA receptors into an endocytic compartment that attenuates NGF signaling, thereby allowing filopodia to withdraw even in the continued presence of NGF. This model predicts that reducing the activities of Ulk1/2 will lead to prolonged TrkA signaling and impaired filopodia retraction, which is exactly what we observed in Ulk1/2 knockdown neurons, i.e., increased activation/phosphorylation of Akt and an excessive number of filopodia and axon branches. These data support the functional involvement of a phylogenetically conserved Ulk1/2-mediated endocytic pathway in modulating the signaling of neurotrophins in sensory neurons.

It was known that active TrkA receptors can also be endocytosed via CVV into signaling endosomes that continue to transduce the NGF signal in a retrograde manner back to cell bodies in DRG neurons (23, 24). Recently, Pincher-mediated macroendocytosis of TrkA and the formation of multivesicular bodies were shown to be the primary pathway responsible for retrograde neurotrophin signaling in sympathetic neurons (25). Therefore, endocytosis per se does not necessarily result in termination of active TrkA signaling. It is interesting to note that Ulk1/2 puncta, presumably representing the Ulk1/2-associated endocytic vesicles, do not colocalize with...
clathrin in the growth cone (Fig. 3 E and F). Thus the segregation of Ulk1/2-mediated endocytosis from the clathrin-mediated pathway may allow sensory neurons to use the Ulk1/2 pathway for internalization of TrkA into signaling-inactive endosomes and either recycles the TrkA back to the cell surface or directs it to the degradation pathway, while using the clathrin pathway for the formation of retrograde signaling endosomes. Future work is needed to further characterize the molecular and cellular nature of the Ulk1/2-mediated endocytic pathway, as well as the fates of the Ulk1/2-mediated endocytosed vesicles and the internalized TrkA receptors (i.e., degraded or recycled). Suffice it to say, our study does highlight the importance of endocytic processes in regulating diverse signaling events during axon elongation and neuronal morphogenesis.

Materials and Methods
Molecular Biology. Ulk1 and Ulk2 cDNA were cloned by RT-PCR (using total RNA prepared from E12.5 DRG neurons) into the pCAGGS vector that has a chicken β-actin promoter. The hairpin sequences of the oligonucleotides chosen for Ulk1 and Ulk2 knockdown experiments in neurons are 5'-GATCCCCAGACTC-CTGTGACACAGATTTCAAGAGAATCTGTGTCACAGGAGATCTCTGGGAATGTTTTTA-3' for Ulk1-RNAi and 5'-GATCCCCCTGCTTAGTATTTCCAGAGATCAAGATCTCCTGGGAATGTTTTTA-3' for Ulk2-RNAi. The sequence of the scrambled oligonucleotide control is 5'-GATCCCCCATAGCATGCGTATCATGCTTCAAGAGAGCATGATACGCATGCTAAGACTC-3'.

Antibodies and Immunoblotting. Anti-TrkA antibody was kindly provided by Dr. Louis Reichardt (University of California, San Francisco). Rabbit polyclonal anti-Ulk1 antibody was raised against a peptide with a sequence of SSPPSGRPGF. Rabbit polyclonal anti-Ulk2 antibody was raised against a peptide with a sequence of GTSPKHTGSSPRNS (Covance, Richmond, CA). Anti-clathrin (Covance), anti-phosphorylated Akt (Cell Signaling Technology, Danvers, MA), and secondary antibodies (Invitrogen, Carlsbad, CA) were purchased. Quantification of pAkt staining is described in SI Materials and Methods.

Cy3-NGF and Alexa Fluor 568-Transferrin Internalization Assay and Quantification. Cy3-conjugation of NGF and measurement of its activity have been described (19). Alexa Fluor 568-Transferrin was purchased from Invitrogen. Detailed procedure for endocytosis assays and quantification can be found in SI Materials and Methods.

Other Methods. Procedures for neuronal culture and transfection, immunoprecipitation, Western blot, and GST pull-down assay can be found in SI Materials and Methods.

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